

**Audit and Governance Committee**  
**Proposed Forward Work Plan 2022**

Please note that the FWP is a dynamic document, updated on a regular basis as required

| <b>Meeting Date</b>  | <b>Item</b>  | <b>Responsible Officer</b>                | <b>Draft Report Deadline</b> | <b>Publication Deadline</b> |
|----------------------|--|---|------------------------------|-----------------------------|
| <b>27 April 2022</b> | External Audit Plans – verbal updates <ul style="list-style-type: none"> <li>• Updated External Audit Plan 2019/20</li> <li>• Updated External Audit Plan 2020/21</li> </ul> | Deloitte                                  | 8 Apr 2022                   | 19 Apr 2022                 |
|                      | Policies on Anti-Fraud and Corruption, Anti-Tax Evasion, Whistleblowing  | Lizzie Watkin / Frank Cain / Perry Holmes |                              |                             |
|                      | Accounting Policies 2021/22  | Lizzie Watkin / Sally Self                |                              |                             |
|                      | Q1 Internal Audit Plan 2022/23   | SWAP                                      |                              |                             |

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| <b>21 July 2022</b>  | Internal Audit Reports <ul style="list-style-type: none"> <li>• Q4 IA Report 2020/2021, including Head of Internal Audit opinion and consolidated IA outstanding management actions report</li> </ul>                       | SWAP/ Andy Brown                            | 6 July                       | 13 July                     |
|  | AGS 2021/22   | Perry Holmes/Maria Doherty                  |                              |                             |
|  | Good Lives Alliance (in response to limited assurance audit)  | Helen Jones / Victoria Bayley               |                              |                             |
|  | Corporate Risk  | Toby Elliot                                 |                              |                             |
|  | Updates <ul style="list-style-type: none"> <li>• Redmond Review – TBC</li> <li>• SWLEP and the Committees role in relation to SWLEP – TBC</li> <li>• Progress on recruitment of independent co-opted lay members</li> </ul> | Lizzie Watkin<br>Andy Brown<br>Perry Holmes |                              |                             |
| Training on Statement of Accounts (private, after Committee) | Lizzie Watkin/Tara Shannon  |   |                              |                             |

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| <b>27 Sep 2022</b>      | Internal Audit Reports<br>Q1 IA Report 2022/23 and consolidated IA outstanding management actions report | SWAP/ Andy Brown           | 14 Sep                       | 19 Sep                      |
| <b>Date to be moved</b> | Stone Circle Annual Governance Update  | Perry Holmes/Stone Circle  |                              |                             |

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| <b>23 Nov<br/>2022</b> | <b>Statement of Accounts 2020/21 TBC</b><br>To approve the SoA 2020/2021 including:<br>Report to those Charged with Governance (ISA 260) 2019/20<br>To include assurance from the Pension Committee, 2 Letters of representation, AGS, statements   | Lizzie Watkin/ Andy Brown/ Deloitte | 9 Nov                        | 15 Nov                      |
|                        | <b>Statement of Accounts 2021/2022 TBC</b><br>To approve the SoA 2021/2022 including:<br>Report to those Charged with Governance (ISA 260) 2019/20<br>To include assurance from the Pension Committee, 2 Letters of representation, AGS, statements | Lizzie Watkin/ Andy Brown/ Deloitte |                              |                             |
|                        | <b>Internal Audit Reports</b><br>Q2 IA Report 2022/23 and consolidated IA outstanding management actions report   | SWAP/ Andy Brown                    |                              |                             |
|                        | <b>Private meeting with External Auditors (after Committee)</b>   | Deloitte/Tara Shannon               |                              |                             |

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| <b>8 Feb 2023</b>   | Internal Audit Reports<br>Q3 IA Report 2022/23 and consolidated IA outstanding management actions report | SWAP/ Andy Brown           | 25 Jan 2023                  | 31 Jan 2023                 |
|                     | Governance Update on AGS   | Perry Holmes/Maria Doherty |                              |                             |
|                     | Corporate Risk Update  | Toby Eliot                 |                              |                             |
|                     | Private meeting with External Auditors (after Committee)   | Deloitte/Tara Shannon      |                              |                             |

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| <b>Late April 2023 – date tbc</b> | Internal Audit Reports<br>Q4 IA Report 2022/23 and consolidated IA outstanding management actions report | SWAP/ Andy Brown                          | TBC                          | TBC                         |
|                                   | External Audit Plans   | Deloitte                                  |                              |                             |
|                                   | Policies on Anti-Fraud and Corruption, Anti-Tax Evasion, Whistleblowing                                  | Lizzie Watkin / Frank Cain / Perry Holmes |                              |                             |
|                                   | Accounting Policies 2022/23  | Lizzie Watkin / Sally Self                |                              |                             |
|                                   | Members private meeting with SWAP (after Committee)  | SWAP/Tara Shannon                         |                              |                             |